## AP15 Rec'd PCT/PTO 09 JUN 2006

PTO-1390 (Rev. 07-2005) Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) No. (Mknown, see 37 CFR 1.5) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE 10 December 2003 10 December 2004 PCT/US2004/041792 TITLE OF INVENTION SURGICAL RETRACTOR, AND METHOD FOR ESTABLISHING AN ENLARGED OPERATING FORTAL IN A PATTENT APPLICANT(S) FOR DO/EO/US TSAHAKIS, Paul Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. X This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). X has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. X An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (unsigned) An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. A substitute specification. (unsigned) A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825. A second copy of the published International Application under 35 U.S.C. 154(d)(4). A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this end, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO-1390 (Rev. 07-2005)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)		INTERNATIONAL APPLICATION NO. PCT/US2004/041792		ATTORNEY'S DOCKET NUMBER 219/1US		
107	items or information	-		<u> </u>		
Formal [	Drawings (	Replacemer	nt Sheets 1-25)			
The follo	wing fees have b	een submitted			CALCULATIONS	PTO USE ONLY
21. Basic	national fee (37	CFR 1.492(a))		\$300	\$ 300.00	T
22. 💢 Exam	ination fee (37 CF					
•	ion prepared by IS JS indicates all cl	\$ 200.00				
23. Search fee (37 CFR 1.492(b))  If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)					s 100.00	
TOTAL OF 21, 22 and 23 =					600.00	
sequence electronic	e listing in complia : medium) (37 CFi	specification and drawings filed in paper over 100 sheets (excluding ing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an dium) (37 CFR 1.492(j)).  60 for each additional 50 sheets of paper or fraction thereof.  The Sheets Number of each additional 50 or fraction thereof (round up to a whole number)				
- 100 =	/50 =			x \$250	s 0.00	
Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).					\$ 130.00	
CLAIMS	NUMB	ER FILED	NUMBER EXTRA	RATE	\$	
Total claims		20 - 20 =	0	× \$ 50	\$ 0.00	
Independent claims		4 -3=	1	× \$200	\$ 200.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360					\$ 0.00	
TOTAL OF ABOVE CALCULATIONS =					\$ 930.00	
Applicant clai	ms small entity st	465.00				
				SUBTOTAL =	\$ 465.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).					\$ 0.00	
TOTAL NATIONAL FEE =					\$ 465.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +					\$ 0.00	
TOTAL FEES ENCLOSED =					\$ 465.00	
					Amount to be refunded:	\$
					Amount to be charged	\$

10/582263

AP3 Rec'd P01/P10 09 JUN2 P10 390 (Rev. 07-2005)

Approved for use through 3/31/2007, OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

A duplicate copy of this sheet is enclosed.  c. The Commissioner is hereby authorized to charge any Account No. 50-2/10. A duplicate copy of this sheet.  d. Fees are to be charged to a credit card. WARNING: Ir be included on this form. Provide credit card information.							
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.							
Jeffrey J. Schwartz Schwartz Law Firm, P.C. SouthPark Towers 6100 Fairview Road Suite 1135 Charlotte North Carolina 28210 Tel: 704/552-1889 Fax: 704/552-1866 Email: jjs@schwartz-iplaw.com	SIGNATURE Jeffrey J. Schwartz  NAME 37532  REGISTRATION NUMBER						